

10/802 314

FORM PTO-1449 (Modified) Page 1 of 4			Docket No.: ECV-5539 con		Serial No. Unknown		
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT			Applicant: Van Le Huynh, Michael J. Scott, Derrick Johnson				
			Filing Date: Herewith		Art Unit: 3738		
UNITED STATES PATENT DOCUMENTS							
*Ex'r's. Inits.	Ref.	Patent No.	Date	Name	Class	Sub	Filing Date (if applicable)
BP	AA	4,197,593	4/15/80	Kaster et al.			
↑	AB	4,345,340	8/24/82	Rosen			
	AC	4,535,483	8/20/85	Klawitter et al.			
	AD	4,680,031	7/14/87	Alonso			
	AE	4,705,516	11/10/87	Barone et al.			
	AF	4,816,029	3/28/89	Penny, III et al.			
	AG	4,863,460	9/5/89	Magladry			
	AH	4,865,600	9/12/89	Carpentier et al.			
	AI	4,865,870	9/12/89	Hu et al.			
	AJ	4,892,540	1/9/90	Vallana			
	AK	5,035,709	7/30/91	Wieting et al.			
	AL	5,071,431	12/10/91	Sauter et al.			
	AM	5,072,431	12/10/91	Ohmori et al.			
	AN	5,104,406	4/14/92	Curcio et al.			
	AO	5,147,391	9/15/92	Lane			
	AP	5,178,633	1/12/93	Peters			
	AQ	5,243,330	9/7/93	Thuillard			
	AR	5,258,023	11/2/93	Reger			
	AS	5,354,330	10/11/94	Hanson et al.			
	AT	5,360,014	11/1/94	Sauter et al.			
	AU	5,370,684	12/6/94	Vallana et al.			
	AV	5,397,346	3/14/95	Walker et al.			
	AW	5,397,348	3/14/95	Campbell et al.			
	AX	5,480,425	1/2/96	Ogilive			
	AY	5,487,760	1/30/96	Villafana			
	AZ	5,607,470	3/4/97	Milo			
↓	BA	5,755,783	5/26/98	Stobie et al.			
BP	BB	5,766,240	6/16/98	Johnson			

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UNITED STATES PATENT DOCUMENTS

BP	BC	5,855,603	1/5/99	Reif	✓	✓	
BP	BD	5,876,436	3/2/99	Vanney et al.	✓	✓	
BP	BE	5,928,281	7/27/99	Huynh et al.	✓	✓	
BP	BF	6,045,576	4/4/00	Starr et al.	✓	✓	

FOREIGN PATENT DOCUMENTS

Ex'r's. Init.	Ref	Document No.	Date	Country	Class	Sub	Translation? Yes	No
BP	BG	WO 82/02829	9/2/82	WIPO	✓	✓	X	
✓	BH	WO 92/19184	11/12/92	WIPO	✓	✓	X	
	BI	WO 93/20781	10/28/93	WIPO	✓	✓	X	
	BJ	WO 94/01060	1/20/94	Abstract	✓	✓		
	BK	WO 95/16408	6/22/95	WIPO	✓	✓	X	
	BL	WO 95/28899	11/2/95	WIPO	✓	✓	X	
	BM	EP 0 070 259	6/1/82	Europe	✓	✓	X	
	BN	EP 0 075 530B1	9/1/82	Europe	✓	✓	X	
	BO	EP 0 119 357	12/20/83	Europe	✓	✓	X	
	BP	EP 0 200 926	4/3/86	Europe	✓	✓	X	
✓	BQ	EP 0 350 302	7/6/89	Europe	✓	✓	X	
BP	BR	EP 0 541 215	5/12/93	Europe	✓	✓	X	

OTHER REFERENCES (Including Author, Date, Title, Pertinent Pages, Etc.)

Ex'r's. Inits.	Ref.	Bibliographic Data
BP		Carpentier, "Implant Technique for the Aortic Carpentier-Edwards SAV Bioprosthetic" Chief, Department of Cardiovascular Surgery Hopital Broussais Paris, France
BP		"Judge Our Pericardial Valve by Its Appearance and You Will Only Get Half the Picture" Baxter

Examiner

Date Considered

• Examiner: Initial if citation considered, whether or not citation is in conformance with M.P.E.P. §1609. Draw line through citation (i.e., citation) if not in conformance and not considered. Include copy of this form with next communication to applicant.

Substitute for form 1449A/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary)					Complete if Known	
Sheet	3	of	4	Application Number	Unknown 10/902 314	
				Filing Date	Herewith	
				First Named Inventor	Van Le Huynh, et al.	
				Art Unit	3738	
				Examiner Name	Pellegrino	
				Attorney Docket Number	ECV-5539CON	

U.S. PATENT DOCUMENTS						
Examiner Initials*	Cite No.	Document Number		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number – Kind Code ² (If known)				
BP	AA	US-3,781,969		01/01/1974	Anderson	
BP	AB	US-3,839,741		10/08/1974	Haller	
BP	AC	US-4,084,268		04/18/1978	Ionescu et al.	

FOREIGN PATENT DOCUMENTS							
Examiner Initials*	Cite No. ¹	Foreign Patent Document		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ³
		Office Code ³	Number ⁴				
BP	AD	WO	01/12105	A1	02/22/2001		

OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS						
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.				T ⁶

Examiner Signature	Brian Pellegrino	Date Considered	6/15/07
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UNITED STATES PATENT DOCUMENTS							
*Exr's. Inits.	Ref.	Patent No.	Date	Name	Class	Sub	Filing Date (if applicable)
BP	A	5,571,175	11/5/96	Vanney et al.			
↑	B	5,824,069	10/20/98	Lemole			
	C	5,843,179	12/1/98	Vanney et al.			
		4,605,407	08/12/86	Black et al.			
↓		4,626,255	12/02/86	Reichart et al.			
BP		4,477,930	10/23/84	Totten et al.			
FOREIGN PATENT DOCUMENTS							
Exr's. Init.	Ref	Document No.	Date	Country	Class	Sub	Translation? Yes No
Examiner	<i>Brian Pellegrino</i>			Date Considered	<i>6/15/07</i>		
*Examiner: Initial if citation considered, whether or not citation is in conformance with M.P.E.P.							